

1 **Review article and meta-analysis**

2 **Long-term impacts of prenatal and infant exposure to fine**

3 **particulate matter on wheezing and asthma: a systematic review**

4 **and meta-analysis**

5 Jingyi Shao<sup>1</sup>, Amanda J. Wheeler<sup>1,2</sup>, Graeme R. Zosky<sup>3</sup>, Fay H. Johnston<sup>1\*</sup>

6 <sup>1</sup>Menzies Institute for Medical Research, University of Tasmania, Hobart, Tasmania 7000, Australia

7 <sup>2</sup>Behaviour, Environment and Cognition Research Program, Mary MacKillop Institute for Health Research,  
8 Australian Catholic University, Level 5, 215 Spring Street, Melbourne, Victoria 3000, Australia

9 <sup>3</sup>School of Medicine, Faculty of Health, University of Tasmania, Hobart, Tasmania 7000, Australia

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11 \*Address correspondence to A/Prof Fay Johnston, Menzies Institute for Medical Research, University of Tasmania,  
12 17 Liverpool Street, Hobart, Tasmania 7000, Australia. E-mail: [Fay.Johnston@utas.edu.au](mailto:Fay.Johnston@utas.edu.au)

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14 **Running head:** Prenatal or infant air pollution exposure and asthma

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17

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19

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21 **Abstract**

22 **Background:** This systematic review aimed to summarise epidemiological evidence regarding  
23 long-term effects of prenatal and infant fine particulate matter (PM<sub>2.5</sub>) exposure on wheezing and  
24 asthma.

25 **Methods:** Epidemiological data investigating the associations between ambient PM<sub>2.5</sub> exposures  
26 during prenatal or the first two years of life and wheezing or asthma throughout life was extracted  
27 from five databases. All included studies were assessed according to the Critical Appraisal Skills  
28 Programme checklists. We performed meta-analyses if  $\geq 2$  studies estimated the effects of  
29 continuous PM<sub>2.5</sub>.

30 **Results:** Nine of 18 eligible studies were suitable for meta-analyses. For prenatal PM<sub>2.5</sub> exposure  
31 and asthma by age 10 (n=4), the overall risk estimate (per 10-unit increase (95% confidence  
32 interval) was 1.12 (1.00-1.26). While meta-analysis of prenatal exposure and wheezing by age 4  
33 (n=5) was not possible due to inconsistent exposure and outcome assessments, four studies found  
34 strong positive associations with wheeze by age 2. The overall risk of developing asthma (n=5)  
35 and wheezing (n=3) by age 8 for infant PM<sub>2.5</sub> exposure was 1.14 (0.96-1.35) and 1.49 (0.99-2.26),  
36 respectively. One large high-quality study reporting risk differences not suitable for meta-  
37 analysis demonstrated significant associations between prenatal or infant PM<sub>2.5</sub> exposure and  
38 childhood asthma. High heterogeneity was present among studies of prenatal exposures and  
39 asthma, while studies of other associations showed low heterogeneity. There was insufficient  
40 evidence about susceptible sub-groups.

41 **Conclusions:** The limited and inconsistent evidence is suggestive of an association between early  
42 life PM<sub>2.5</sub> exposure and wheezing/asthma. Large standardised studies are needed to explore the  
43 associations and identify vulnerable populations.

44 **Key words:** perinatal, particulate matter, wheezing, asthma, meta-analysis

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46 **What this study adds:** this systematic review provided synthesised results on long-term impacts  
47 of prenatal and infant fine particulate matter exposure and development of wheezing or asthma  
48 based on the existing evidence. It also highlighted limitations to current research in this rapidly  
49 developing field and recommended future epidemiological studies to use standardised designs  
50 and evaluate susceptible populations to assist policy makers in improving public health.

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## 67 **1. Introduction**

68 Exposure to fine particulate matter (PM<sub>2.5</sub>) is a well-recognised global public health issue. It has  
69 been estimated that mortality from PM<sub>2.5</sub> exposure increased from approximately 3.5 million in  
70 1990 to 4.2 million in 2015.<sup>[1]</sup> Globally, the association between PM<sub>2.5</sub> exposure, wheezing and  
71 asthma has been widely studied.<sup>[2-4]</sup> Short-term (e.g. daily) increases in PM<sub>2.5</sub> have a well-  
72 established association with worsening asthma symptoms and increases in hospital attendance  
73 rates,<sup>[5]</sup> while long-term exposure has been shown to increase the risk of developing asthma.<sup>[6]</sup>  
74 However, few studies have evaluated the long-term impacts of exposure during early life.

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76 The period from *in utero* to the first 2 years of life is a critical window for lung development and  
77 growth.<sup>[7-8]</sup> Increasingly, studies have suggested that exposure to air pollution during this period  
78 could increase the risk of developing wheezing and/or asthma in later life. For example, a  
79 systematic review has found a significant association between prenatal exposure to particulate  
80 matter with an aerodynamic diameter less than 10 µm (PM<sub>10</sub>) and childhood asthma<sup>[9]</sup> with *in*  
81 *vivo* laboratory models suggesting that this relationship is causal.<sup>[10-11]</sup>

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83 However, the identified associations in the literature between PM<sub>2.5</sub> exposure during this critical  
84 period and the long-term risk of wheezing and asthma are inconsistent. For example, an  
85 American study suggested that childhood asthma was significantly associated with prenatal  
86 PM<sub>2.5</sub> exposure as estimated by a land use regression (LUR) model (odds ratio (OR): 1.17; 95%CI  
87 (confidence interval): 1.04-1.30),<sup>[12]</sup> while a Canadian study using a similar methodological  
88 approach did not observe associations.<sup>[13]</sup> These inconsistencies might be explained by

89 differences in PM<sub>2.5</sub> sources, exposure and outcome measurements, and analytic approaches in  
90 different studies, making further analysis necessary to better assess this relationship.

91  
92 Previous systematic reviews have focused on the effects of either prenatal exposure alone <sup>[9]</sup> or  
93 many years of exposure to traffic-related air pollution.<sup>[3, 6]</sup> The aim of this systematic review was  
94 to identify and summarise the available epidemiological evidence for the association between  
95 prenatal or infant (less than 2 years of age) exposure to PM<sub>2.5</sub> and the subsequent development  
96 of wheezing and asthma.

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## 99 **2. Methods**

100 We followed the Cochrane guidelines<sup>[14]</sup> and the Preferred Reporting Items for Systematic  
101 Reviews and Meta-Analyses (PRISMA) checklist<sup>[15]</sup> [See PRISMA 2009 Checklist,  
102 Supplemental Digital Content, which provides details of the checklist].

### 103 **2.1. Search strategy**

104 We initially searched PubMed, Scopus, Web of Science core collection, ProQuest and Cochrane  
105 library on 11/05/2016 for scientific articles. We used a combination of free text words found in  
106 the title, abstract and key words (Table 1).

107 We included all respiratory outcomes in the search terms in order to reduce the loss of  
108 potentially relevant papers. There was no restriction on publication date. Articles that were not  
109 written in English were excluded. We updated the database search and searched the reference  
110 lists of all included studies by 4/12/2017.

## 111 **2.2. Study screening**

112 We screened titles and abstracts of all included papers for potential relevance. After that, full  
113 texts of all relevant studies were reviewed based on the following inclusion and exclusion criteria.

114 We included all epidemiological studies which:

- 115 1. Were peer-reviewed journal articles, conference proceedings, theses and official reports  
116 using a cohort, case-control or cross-sectional design;
- 117 2. Evaluated the effects of exposure to PM<sub>2.5</sub> prenatally or during the first 2 years of life;
- 118 3. Assessed the impact of prenatal and infant PM<sub>2.5</sub> exposure on wheezing and asthma incidence  
119 or prevalence  $\geq 1$  year after the exposure period investigated.

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121 Studies were excluded if they:

- 122 1. Were experimental studies, reviews, meeting abstracts, book sections, blogs, newspaper  
123 articles, editorials or non-research letters;
- 124 2. Only assessed maternal PM<sub>2.5</sub> exposure before conception or childhood exposure after 2 years  
125 of age;
- 126 3. Only assessed indoor air pollution, tobacco smoke, or other air pollution exposure metrics;
- 127 4. Only assessed other respiratory illnesses or symptoms.
- 128 5. Assessed acute effects of PM<sub>2.5</sub> exposure.

## 129 **2.3. Data extraction**

130 Data was extracted manually from all eligible studies for information on study design, location,  
131 population characteristics, exposure, outcomes, confounding factors and effect estimates with  
132 95% CIs. We contacted the corresponding authors of studies with important data missing.

## 133 **2.4. Critical appraisal**

134 We examined the quality of all included studies using the Critical Appraisal Skills Programme  
135 (CASP) checklists<sup>[16-17]</sup> [See CASP checklist for cohort study and CASP checklist for case-  
136 control study, Supplemental Digital Content, which provides details of these checklists].

## 137 **2.5. Analysis**

138 We employed random-effects meta-analyses to calculate the weighted effect estimates and  
139 95% CIs for every 10  $\mu\text{g}\cdot\text{m}^{-3}$  increase in  $\text{PM}_{2.5}$  concentrations. Meta-analysis was conducted if  
140  $\geq 2$  studies reporting ORs, RRs or HRs using continuous  $\text{PM}_{2.5}$  concentrations as an independent  
141 variable. Studies reporting ORs, RRs or HRs were combined in a single meta-analysis as this is  
142 acceptable for common outcomes with a small effect size<sup>[18]</sup> and is a well-established approach.<sup>[6,</sup>

143 <sup>19]</sup> All meta-analyses were performed on Review Manager 5.3 (Copenhagen: The Nordic  
144 Cochrane Centre, The Cochrane Collaboration, 2014) using the generic inverse variance method.  
145 Heterogeneity was assessed using the  $I^2$  statistic and  $p$  value from the Chi-squared test.  
146 Publication bias was visually evaluated using funnel plots. We conducted sensitivity analyses by  
147 employing fixed-effects models, excluding case-control studies, and excluding studies estimating  
148 exposure using techniques other than the most common approach of LUR. Since one study<sup>[20]</sup>  
149 used both LUR and inverse distance weighted (IDW) approaches to estimate  $\text{PM}_{2.5}$  exposure, we  
150 included LUR in the primary meta-analysis and used IDW in the sensitivity analysis.

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## 153 **3. Results**

### 154 **3.1. Study screening**

155 Our search strategy initially identified 8031 articles (Figure 1). After removing duplicates  
156 ( $n=3326$ ) and conducting the first screening of titles and abstracts ( $n=4705$ ), we reviewed 111

157 full texts articles which yielded 13 relevant studies. We added five more articles by further  
158 searching for new publications and reference lists of all the included articles. Eighteen studies  
159 were included in our final review consisting of 17 peer-reviewed journal articles and 1 Thesis  
160 [See eTable 1, Supplemental Digital Content, which provides details].

### 161 **3.2. Study setting**

162 All 18 studies were published between October 2002 and January 2018. The majority of the  
163 studies were conducted in North American and European countries, including 5 in America,<sup>[12,</sup>  
164 <sup>21-24]</sup> 3 in Canada,<sup>[13, 20, 25]</sup> 3 in Poland,<sup>[26-28]</sup> 2 in Germany,<sup>[29-30]</sup> 1 in The Netherlands<sup>[31]</sup> and 1 in  
165 the Czech Republic.<sup>[32]</sup> One study was conducted in Mexico.<sup>[33]</sup> The remaining two studies were  
166 pooled analyses of multi-centre cohorts conducted in Canada, Germany and The Netherlands.<sup>[34-</sup>  
167 <sup>35]</sup> Sample sizes ranged from 184 to 41,569 and follow-up periods ranged from 2 to 10 years.  
168 Most of the studies (n=16) focused on the general population (2 to 21 years of age), except one  
169 study of high-risk children (i.e.  $\geq 1$  first-degree asthmatic relative or  $\geq 2$  first-degree relatives with  
170 other IgE-mediated allergic disease)<sup>[25]</sup> and another on ethnic minorities.<sup>[23]</sup>

### 171 **3.3. Study design**

172 Most of the studies were pregnancy or birth cohort studies (n=15) including two pooled analyses  
173 of multiple birth cohorts from different locations.<sup>[34-35]</sup> The remaining three<sup>[13, 20, 23]</sup> were matched  
174 case-control studies in which two were nested within birth cohorts.<sup>[13, 20]</sup>

### 175 **3.4. PM<sub>2.5</sub> sources and measurements**

176 There were 11 studies evaluating outdoor PM<sub>2.5</sub> from traffic-related sources,<sup>[12-13, 20, 22, 24-25, 29-31,</sup>  
177 <sup>34-35]</sup> woodsmoke,<sup>[20]</sup> industrial points<sup>[20]</sup> or other sources,<sup>[12, 22]</sup> while three investigated PM<sub>2.5</sub>  
178 from both outdoor and indoor sources.<sup>[26-28]</sup> The remaining four studies did not specify the source  
179 of ambient PM<sub>2.5</sub>.<sup>[21, 23, 32-33]</sup>

180 Various methods were used for estimating prenatal and infant PM<sub>2.5</sub> exposure. The LUR model  
181 was mostly based on Geographic information systems (GIS) [13, 20, 25, 29-31, 34-35] or satellite data. [12,  
182 21-22, 33] Studies estimating prenatal PM<sub>2.5</sub> exposure [12-13, 20-22, 33] have taken into account  
183 participants' residential histories, while studies estimating postnatal exposure [25, 29-31, 34-35] only  
184 used birth address. Other studies employed an IDW approach [20, 23] or a dispersion model [24] based  
185 on individual's residential histories, personal environmental monitoring samplers (PEMS) [26-28]  
186 and data from the central monitoring sites. [32]

### 187 **3.5. Outcome definition**

188 The majority of the included studies (n=13) relied on questionnaires or interviews to define  
189 doctor-diagnosed wheezing and asthma (Table 1). There were four studies defining asthma from  
190 medical records as different combinations of physician diagnoses, hospital admissions and  
191 asthma-related medication use. [13, 20, 24, 32] One study diagnosed asthma by a blinded paediatric  
192 allergist based on the presence of asthmatic symptoms. [25] We included parental reports of doctor  
193 diagnosed asthmatic/spastic/obstructive bronchitis as an indication of asthma in two German  
194 studies [29-30] due to the relatively low asthma frequency and the strict diagnostic criteria for pre-  
195 school asthma. [34]

### 196 **3.6. Quality assessment**

197 According to the CASP checklists, all the studies were highly [13, 20-22, 24, 32] or moderately  
198 qualified [12, 23, 25-31, 33-35] [See eTable 2-3, Supplemental Digital Content, which provides details].  
199 The major concerns for the validity of the studies were potential for information bias (n=13),  
200 selection bias (n=10), short follow-up duration (n=9) and not accounting for important  
201 confounding factors (n=8) [See eTable 2, eTable 3 and Notes for CASP quality assessment of all  
202 included studies, Supplemental Digital Content, which provides details].

## 203 **3.7. PM<sub>2.5</sub> exposure and wheezing/asthma**

### 204 **3.7.1 Prenatal PM<sub>2.5</sub> exposure and asthma**

205 Of the six studies assessing prenatal PM<sub>2.5</sub> exposure and asthma development, four were included  
206 in the meta-analysis,<sup>[12-13, 20, 32]</sup> while the other two either contained overlapping data<sup>[22]</sup> or  
207 investigated the RDs,<sup>[24]</sup> respectively. The overall risk of developing childhood asthma for a 10  
208 µg·m<sup>-3</sup> increase in prenatal PM<sub>2.5</sub> exposure was 1.12 (95%CI: 1.00-1.26), with borderline  
209 significance (*p*=0.050) (Figure 2). We found high heterogeneity among those studies (*I*<sup>2</sup>=73%;  
210 *p*=0.0005). Sensitivity analyses all found similar but non-significant associations between  
211 prenatal PM<sub>2.5</sub> exposure and asthma development (Table 2; see eFigure 1, Supplemental Digital  
212 Content, which provides details).

213 The meta-analyses did not include a recent study using RDs to estimate the effect of prenatal  
214 PM<sub>2.5</sub> exposure on asthma development of nearly 20,000 American children.<sup>[24]</sup> In this study, the  
215 authors found significant positive associations between log-transformed prenatal PM<sub>2.5</sub> exposure  
216 (per 2.7-fold increase) and cumulative asthma incidences from age 2 to age 6 with RDs ranging  
217 from 0.015 to 0.035 after adjustment for confounders. Sensitivity analysis of modelling exposure  
218 by quintiles also revealed significant associations between prenatal PM<sub>2.5</sub> exposure and asthma  
219 incidence and persistence by age 5. However, modelling PM<sub>2.5</sub> linearly resulted in positive  
220 associations but with no statistical significance [See eTable 4, Supplemental Digital Content,  
221 which provides details].

### 222 **3.7.2 Infant PM<sub>2.5</sub> exposure and asthma**

223 There were nine studies evaluating the associations between infant PM<sub>2.5</sub> exposure and asthma.  
224 These included one for birth year exposure,<sup>[25]</sup> four for exposure during the first of life<sup>[20, 23-24, 35]</sup>  
225 and four for exposure during first 2 years of life.<sup>[29-31, 34]</sup> After excluding four studies either with

226 repeated data<sup>[25, 29, 31]</sup> or estimating the effect by RDs,<sup>[24]</sup> five remained in the meta-analyses.<sup>[20,</sup>  
227 <sup>23, 30, 34-35]</sup> Our meta-analyses showed a trend towards a positive association that was not  
228 statistically significant (overall OR: 1.14; 95%CI: 0.96-1.35) with low heterogeneity ( $I^2=0\%$ ;  
229  $p=0.480$ ) (Figure 3). The results were robust to multiple sensitivity analyses (Table 2; see eFigure  
230 2-3, Supplemental Digital content, which provides details).

231 One study also analysed the outcomes as current asthma or ever asthma plus current wheeze in  
232 their regression models,<sup>[35]</sup> which was not included in the meta-analyses. According to the results  
233 of those analyses, infant  $PM_{2.5}$  exposure was found to be significantly associated with an  
234 increased risk of current asthma of 35% (95%CI: 7%-70%) at age 6 to 8, while ever asthma plus  
235 current wheeze did not show statistically significant associations [See eTable 4, Supplemental  
236 Digital Content, which provides details].

237 In the study assessing RDs,<sup>[24]</sup> significant associations were observed for  $PM_{2.5}$  exposure during  
238 the first year of life and incident or persistent asthma when modelling exposure as a log-  
239 transformed continuous variable and by quintiles. Similar with the results of prenatal  $PM_{2.5}$   
240 exposure, modelling the  $PM_{2.5}$  as a continuous variable without log-transformation revealed non-  
241 significant associations. However, goodness-of-fit analyses suggested that the log-transformed  
242 modelling was better than the linear continuous modelling. Other sensitivity analyses all  
243 suggested significant associations [See eTable 4, Supplemental Digital Content, which provides  
244 details].

### 245 **3.7.3 Prenatal $PM_{2.5}$ exposure and wheezing**

246 Meta-analysis was not applicable for the five studies of prenatal  $PM_{2.5}$  exposure and wheezing  
247 since most of the studies categorised  $PM_{2.5}$  exposure by median and had different outcome  
248 definitions.<sup>[21, 26-28]</sup>

249 There was only one study that modelled PM<sub>2.5</sub> as a continuous variable using regression  
250 analyses.<sup>[33]</sup> The authors evaluated the effect of PM<sub>2.5</sub> exposure during different trimesters of  
251 pregnancy on ever or current wheeze (wheeze in the past year) in 552 4-year-old children. No  
252 significant association was observed in any trimester PM<sub>2.5</sub> exposure and wheezing outcomes.  
253 Another study suggested that higher prenatal PM<sub>2.5</sub> exposure (>11.22 µg·m<sup>-3</sup>) was significantly  
254 associated with a 102% increase (95%CI: 20%-240%) in the risk of repeated wheezing in  
255 children from birth to 2 years old compared with the lower exposure group (≤11.22 µg·m<sup>-3</sup>), with  
256 consistent results from multiple sensitivity analyses.<sup>[21]</sup>  
257 The other three studies were from the same project – the Krakow study<sup>[26-28]</sup> which used PEMS  
258 to measure PM<sub>2.5</sub> exposure during the 2<sup>nd</sup> trimester of pregnancy. All studies suggested  
259 significant associations between prenatal PM<sub>2.5</sub> exposure and wheezing duration in the first 2  
260 years of life; however, while the association for ages 3 to 4 was also positive, it was not  
261 statistically significant [See eTable 4, Supplemental Digital Content, which provides details].

#### 262 **3.7.4 Infant PM<sub>2.5</sub> exposure and wheezing**

263 Meta-analyses included three of the four studies investigating the association between infant  
264 PM<sub>2.5</sub> and wheezing,<sup>[30-31,35]</sup> while the other one containing repeated data was excluded.<sup>[29]</sup> Infant  
265 PM<sub>2.5</sub> exposure was not associated with wheezing development in either random- or fixed-effects  
266 models (overall OR: 1.49; 95%CI: 0.99-2.26) (Figure 4; see eFigure 4, Supplemental Digital  
267 Content, which provides details). Low heterogeneity was found in the three studies as indicated  
268 by an I<sup>2</sup>=0% and a *p* value=0.770. PM<sub>2.5</sub> was also not significantly associated with current wheeze  
269 at age 6 to 8<sup>[35]</sup> [See eTable 4, Supplemental Digital Content, which provides details].

#### 270 **3.8. Publication bias**

271 Small studies with negative findings have not been published on the associations between  
272 prenatal or infant PM<sub>2.5</sub> exposure and asthma. The distribution was symmetrical in the funnel plot  
273 of infant exposure and wheezing, despite the small number of studies included in the meta-  
274 analysis [See eFigure 5-7, Supplemental Digital Content, which provides details].

### 275 **3.9. Outcomes by specific characteristics**

276 There were nine studies including stratified analyses by gender,<sup>[12-13, 20, 22-24, 29]</sup> heredity,<sup>[23-24]</sup>  
277 maternal stress during pregnancy,<sup>[12, 33]</sup> race,<sup>[24]</sup> atopic status<sup>[23]</sup> and other characteristics  
278 including birthweight, gestational length, maternal age, parity, neighbourhood SES<sup>[13]</sup> and  
279 genotype<sup>[35]</sup> [See eTable 4, Supplemental Digital Content, which provides details].

280 The differences of effects by gender were inconsistent among the seven studies. To illustrate,  
281 two studies suggested larger magnitudes of effects in males compared with females,<sup>[12, 22]</sup> while  
282 the other five suggested stronger effects in females.<sup>[13, 20, 23-24, 29]</sup> Of those studies, Hsu and  
283 colleagues<sup>[22]</sup> reported significant associations in males exposed to PM<sub>2.5</sub> during the 12-26<sup>th</sup>  
284 gestational weeks with asthma development, while Pennington and colleagues<sup>[24]</sup> reported  
285 significant associations between infant PM<sub>2.5</sub> exposure and asthma development in females.  
286 Other studies did not show significant results among different genders.

287 Higher risk was shown for children with a family history of asthma than those without in one  
288 study,<sup>[23]</sup> while the other one<sup>[24]</sup> only found significantly increased risks of asthma in children of  
289 mothers without asthma, but not in children of mothers with asthma.

290 Stratified analyses by maternal stress during pregnancy revealed a consistently significant and  
291 increased risk in children whose mothers were highly stressed during pregnancy compared with  
292 those slightly stressed.<sup>[12, 33]</sup>

293 Only one study<sup>[24]</sup> tested for potential effect modification by race or ethnicity and found no  
294 statistical differences between groups described as ‘white’ or ‘black’.

295 Studies that evaluated atopic status<sup>[23]</sup> and other characteristics including birthweight, maternal  
296 age, parity, gestational length and SES<sup>[13]</sup> did not find any significant associations with asthma.  
297 However, evidence of effect modification was seen with birthweight. Children with a birthweight  
298 <2500 g were at a higher risk of developing asthma associated with prenatal PM<sub>2.5</sub> exposure.  
299 Children with the GSTP1, rs1138272 or rs1695 minor alleles were more susceptible to  
300 developing asthma associated with infant PM<sub>2.5</sub> exposure.<sup>[35]</sup>

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#### 303 **4. Discussion**

304 Our meta-analyses demonstrated positive associations between prenatal PM<sub>2.5</sub> exposure and  
305 asthma and infant PM<sub>2.5</sub> exposure, and both wheezing and asthma however there were a limited  
306 number of relevant studies and the results were inconsistent. There was high heterogeneity  
307 among the studies for prenatal PM<sub>2.5</sub> exposure and asthma. This might be due to the variability  
308 in children’s ages, exposure measurement methods, sources of particulate matter, outcome  
309 definitions, and adjustment of confounding factors. Studies investigating prenatal PM<sub>2.5</sub> exposure  
310 and subsequent wheezing were not amenable to meta-analysis but consistently reported  
311 significant associations, especially in infants ( $\leq 2$  years).

312 This is the largest review assessing long-term effects of prenatal and infant PM<sub>2.5</sub> exposure on  
313 subsequent wheezing or asthma. We added three more studies<sup>[12, 32-33]</sup> to a previous systematic  
314 review and meta-analysis of the effects of prenatal exposure to all types of air pollutants including  
315 PM<sub>2.5</sub> on the development of wheezing and asthma.<sup>[9]</sup> Our results of meta-analyses of the

316 association between prenatal PM<sub>2.5</sub> exposure and asthma were similar to this previous review,  
317 observing no significant associations and high heterogeneity. In contrast, the other new study not  
318 included in meta-analysis reported significantly increased risk of asthma by age 2 to 6 after  
319 prenatal exposure to PM<sub>2.5</sub>.<sup>[24]</sup> However, the evidence was mixed, with more significant  
320 associations seen in children followed to school age<sup>[12, 24, 32]</sup> than preschool age.<sup>[20, 24]</sup> This  
321 phenomenon might be explained by the difficulties in the diagnosis of asthma among young  
322 children,<sup>[36]</sup> leading to the underestimation of physician diagnosed asthma in this population. The  
323 significant associations between prenatal PM<sub>2.5</sub> exposure and wheezing in infants<sup>[26-28]</sup> rather than  
324 in older children<sup>[28, 33]</sup> could indirectly support this explanation. However, some researchers  
325 argue that it is difficult to predict asthma based solely on early life wheezing as less than half of  
326 children with episodes of preschool wheezing will have continuing childhood asthma.<sup>[37]</sup>  
327 For infant PM<sub>2.5</sub> exposure and the subsequent development of wheezing or asthma, our meta-  
328 analyses did not demonstrate an association. However, these studies were of higher risk of bias  
329 due to potential for selection bias,<sup>[23, 30-31, 34-35]</sup> recall bias,<sup>[23, 30-31, 34-35]</sup> not adjusted for important  
330 confounding factors,<sup>[20, 35]</sup> and a case-control design.<sup>[20, 23]</sup> In contrast, a recent large, high quality  
331 cohort study of nearly 20,000 children revealed positive associations between PM<sub>2.5</sub> exposure  
332 during the first year of life and asthma incidence by age 6, despite not adjusting for important  
333 confounders.<sup>[24]</sup> This result was robust to different asthma definitions but sensitive to PM<sub>2.5</sub>  
334 modelling decisions and covariate controls. Overall, the small number of studies identified in this  
335 systematic review limited our confidence in conclusively suggesting the presence or absence of  
336 associations. Studies with a larger sample size, a standardised exposure estimate method, more  
337 accurate outcome assessment approaches and greater statistical power are needed to further

338 explore the long-term effects of prenatal and infant PM<sub>2.5</sub> exposure on asthma or wheeze  
339 development.

340 Our review also highlights the limited evidence of susceptible populations to prenatal and infant  
341 PM<sub>2.5</sub> exposure. Children whose mothers were exposed to negative life events during pregnancy  
342 were more likely to develop wheezing or asthma after prenatal and infant PM<sub>2.5</sub> exposure than  
343 those not exposed. The different effects of PM<sub>2.5</sub> exposure by gender and heredity were  
344 inconsistent between studies. There was insufficient evidence to suggest that race, low birth  
345 weight and specific genotypes could increase the risk of wheezing or asthma development after  
346 PM<sub>2.5</sub> exposure, while the effects of atopic status, gestational length, maternal age, parity and  
347 SES require further investigation.

348 The main strength of our systematic review was the comprehensive search strategy and  
349 reproducible evaluation of current evidence. Our findings provide a timely contribution to the  
350 rapidly developing field, which could highlight limitations and guide future studies. However,  
351 some limitations should also be acknowledged. Firstly, evidence of prenatal and infant PM<sub>2.5</sub>  
352 exposure and wheezing or asthma is still limited. In addition, publication bias might be present  
353 in studies evaluating early life PM<sub>2.5</sub> exposure and asthma. Therefore, any conclusions should be  
354 made with caution and confirmed by further investigations. Secondly, high variability was found  
355 between studies in study design, exposure estimating methods, outcome assessment approaches,  
356 participants' ages at assessment and adjustment of confounders, especially in those evaluating  
357 prenatal PM<sub>2.5</sub> exposure and asthma. Future syntheses of evidence in this area will benefit from  
358 more studies using standardised designs and methods. In addition, diagnosis of asthma in young  
359 children is difficult and outcome misclassification is inevitable in this population. Finally, the  
360 major source of PM<sub>2.5</sub> in this systematic review was traffic, with scarce evidence regarding the

361 long-term respiratory effects of early life PM<sub>2.5</sub> exposure from other sources such as wildfire  
362 smoke, which is an increasing global concern due to climate change.<sup>[38-39]</sup> More research on PM<sub>2.5</sub>  
363 from other sources is needed to guide public health responses.

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## 366 **5. Conclusions**

367 Prenatal and infant PM<sub>2.5</sub> exposure was not clearly associated with subsequent development of  
368 wheezing or asthma in our review of the literature. The strongest evidence was for an association  
369 between prenatal PM<sub>2.5</sub> exposure and wheezing in infants, while *in-utero* exposure and asthma  
370 had a borderline positive overall effect estimate. However, evidence was insufficient and mixed,  
371 indicated by a small number of studies included in the meta-analyses and inconsistent results.  
372 Further research is necessary to explore the associations using harmonised exposure methods and  
373 appropriate statistical analyses controlling for important covariates. Furthermore, studies of  
374 susceptible populations and other sources of PM<sub>2.5</sub> are needed to help policy makers improving  
375 public health.

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383 **Appendix**

384 **Supplemental Digital Content:** this supplementary material includes the PRISMA 2009  
385 checklist, information on CASP quality assessment, and figures/tables listed below.

386 **eFigure 1.** Sensitivity analysis of the association between prenatal PM<sub>2.5</sub> exposure (per 10 µg·m<sup>-3</sup>)  
387 and asthma

388 **eFigure 2.** Fixed-effects meta-analysis of the association between infant PM<sub>2.5</sub> exposure (per 10  
389 µg·m<sup>-3</sup>) and asthma

390 **eFigure 3.** Sensitivity analysis of the association between infant PM<sub>2.5</sub> exposure (per 10 µg·m<sup>-3</sup>)  
391 and asthma

392 **eFigure 4.** Fixed-effects meta-analysis of the association between infant PM<sub>2.5</sub> exposure (per 10  
393 µg·m<sup>-3</sup>) and wheezing

394 **eFigure 5.** Funnel plot – fixed-effects meta-analysis of the association between prenatal PM<sub>2.5</sub>  
395 exposure and asthma

396 **eFigure 6.** Funnel plot – fixed-effects meta-analysis of the association between infant PM<sub>2.5</sub>  
397 exposure and asthma

398 **eFigure 7.** Funnel plot-fixed-effects meta-analysis of the association between infant PM<sub>2.5</sub>  
399 exposure and wheezing

400 **eTable 1.** Summary of studies included in the systematic review

401 **eTable 2.** Risk of bias assessment for cohort studies according to the CASP checklist

402 **eTable 3.** Risk of bias assessment for case-control studies according to the CASP checklist

403 **eTable 4.** Original risk estimates of the 18 studies investigating prenatal and infant PM<sub>2.5</sub>  
404 exposure and wheezing/asthma development

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406 **Table 1.** Items for database search

Population	Connecting word	Exposure	Connecting word	Outcome
perinatal	AND	“air pollution”	AND	respirat*
post-natal		“air pollutant*”		lung
prenatal		particle*		pulmon*
pre-natal		“particulate matter*”		bronchi*
maternal				“air way”
pregnan*				airway
gestation				asthma
conception				cough
fetus*				wheeze
foetus*				wheezing
fetal				
newborn*				
“new born*”				
infant*				

407 **Notes:** Asterisk represents any combination of letters; double quotation marks represent that the two words should  
 408 not be broken apart.

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415 **Table 2.** Prenatal and infant PM<sub>2.5</sub> exposure (per 10 µg·m<sup>-3</sup> increase) on wheezing/asthma from  
 416 the sensitivity meta-analyses

	<b>Sensitivity analysis 1:</b> fixed-effects OR (95%CI); I <sup>2</sup> ( <i>p</i> * value)	<b>Sensitivity analysis 2:</b> excluding case-control studies random-effects OR (95%CI); I <sup>2</sup> ( <i>p</i> * value)	<b>Sensitivity analysis 3:</b> excluding studies with other exposure estimates approaches except LUR random-effects OR (95%CI); I <sup>2</sup> ( <i>p</i> * value)
Prenatal PM <sub>2.5</sub> & asthma	<b>N.A.</b>	1.17 (0.99 to 1.37); 78% (0.001)	1.16 (0.91 to 1.48); 77% (0.004)
Infant PM <sub>2.5</sub> & asthma	1.14 (0.96 to 1.35); 0% (0.480)	1.27 (0.82 to 1.98); 22% (0.280)	1.16 (0.92 to 1.44); 9% (0.360)
Infant PM <sub>2.5</sub> & wheezing	1.49 (0.99 to 2.26); 0% (0.770)	N.A.	N.A.

417 **Abbreviations:** OR, odds ratio; 95%CI, 95% confidence interval; LUR, land use regression; PM<sub>2.5</sub>, particulate  
 418 matter with an aerodynamic diameter less than 2.5 µm; N.A., not applicable. Significant associations are shown in  
 419 bold. \*, *p*-value refers to the test of heterogeneity.

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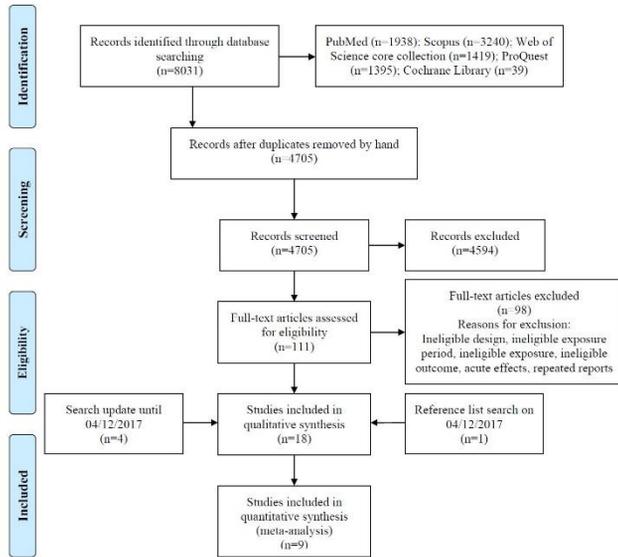
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### PRISMA 2009 Flow Diagram



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428 **Figure 1.** PRISMA flow diagram describing the database search and study screening process

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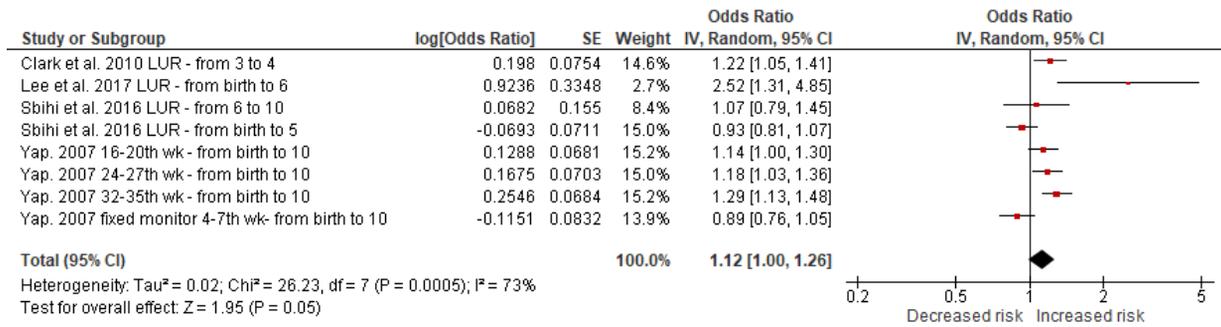
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440 **Figure 2.** Random-effects meta-analysis of the association between prenatal PM<sub>2.5</sub> exposure  
 441 (per 10 µg·m<sup>-3</sup>) and asthma

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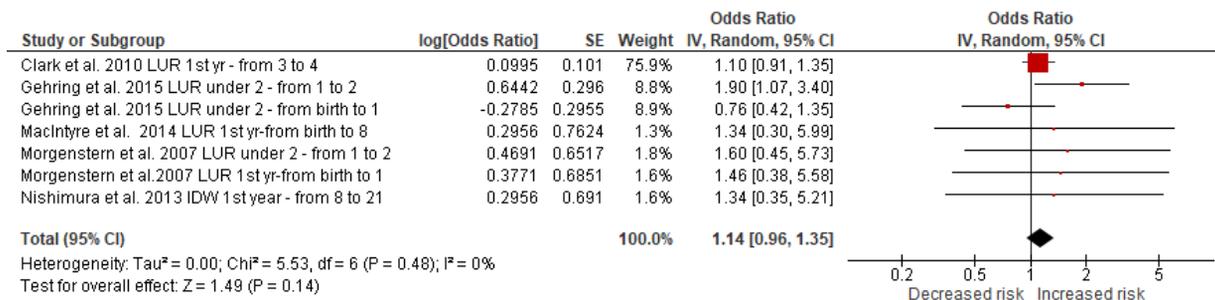
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453 **Figure 3.** Random-effects meta-analysis of the association between infant PM<sub>2.5</sub> exposure (per  
 454 10 µg·m<sup>-3</sup>) and asthma

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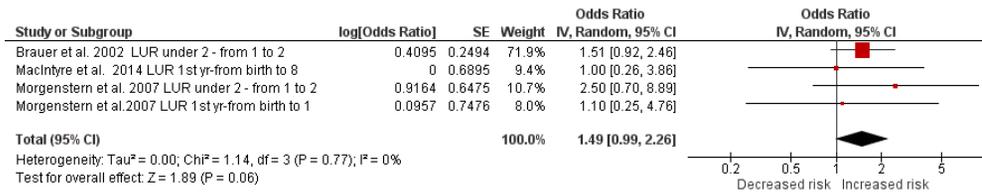
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468 **Figure 4.** Random-effects meta-analysis of the association between infant PM<sub>2.5</sub> exposure (per

469 10 µg·m<sup>-3</sup>) and wheezing

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