

1 **Predictive characteristics of lactation models for pasture-based Holstein Friesian dairy**
2 **cows**

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11 **ABSTRACT**

12 Mathematical functions to describe a series of milk test day records have the advantage of
13 minimizing random variation, while simultaneously summarizing the lactation profile. Five
14 empirical functions and two mechanistic models were used to model herd and individual milk
15 yield profiles of multiparous Holstein-Friesian cows on 113, 290 milk yield records (8438
16 lactations) collected from 1994-2005. The models tested were the incomplete gamma (IG), a
17 modified gamma (MG), an exponential (EXP), a polynomial regression (PR), a mixed log
18 (ML), the bi-compartmental (BC), and Dijkstra (DJ) functions, the latter two being
19 mechanistic models. Each model was fitted using the non-linear (NLIN) function of SAS.
20 Model accuracy was evaluated based on residual mean square (RMS), the magnitude and
21 distribution of residuals, and the correlation between the observed and predicted values. All
22 the models, except MG, did equally well in portraying the lactation profile. Parameter
23 estimates were significant ($P < 0.05$), with large serial correlations indicating biased
24 predictions, especially during mid-lactation. Correlations of residuals and observed herd
25 average lactations ranged between -0.13 (MG) to 0.19 (IG), while that between observed and
26 predicted was between 0.76 and 0.99 for the same models. Lactation curves of individual cow
27 milk yields were more varied, exhibited the tendency for a second peak which were not
28 accurately modeled. Mechanistic models performed best with herd data, the PR model fitted
29 overall best, while the MG model fitted the profile least accurately in this study.

30 **Keywords:** model, lactation profile.

31 **INTRODUCTION**

32 Mathematical functions such as those previously used to describe a series of
33 milk test day records (Wood, 1967; Cobby & Le Du, 1978; Wilmink, 1987), have the
34 advantage of minimizing random variation while simultaneously summarising the lactation
35 profile into biologically interpretable parameters. Results may be useful in making
36 management and breeding decisions to evaluate the performance of a dairy enterprise.

37 The functions available to model lactation profiles are many, and include
38 empirical (linear or non-linear), mechanistic, test day and non-parametric models (see review
39 by Beever *et al.* 1991). Despite the better fits based on RMS values obtained from the more
40 complex models, simpler empirical models tend to be preferred by many researchers (Tozer &
41 Huffaker, 1999). Wood's incomplete gamma function is the most commonly used model to fit
42 daily milk yield data, mainly because its three parameters can be related to biological
43 components of the curve. (Saxton *et al.* 2004).

44 Although the shape of the lactation curve is assumed to be the same for dairy
45 cows, that is an increase to a peak 4-8 weeks into lactation, then a decline until drying off,
46 temporary environmental factors influence yield pattern. For instance, the lactation curve of

47 stall-fed cows differs from that obtained from grazing systems (Garcia & Holmes 2001, Tozer
48 & Huffaker 1999). A robust model must be capable of minimising such distortions, and model
49 the true shape of the profile.

50 The suitability of different models reported in the literature has been diverse,
51 for instance, Olori *et al.* (1999) reported that the polynomial model gave the best fit in a farm-
52 based study, while Garcia & Holmes (2001) found no difference in average lactation
53 predicted by both diphasic and linear-based split-plot models. Papajcsik & Boderó (1988)
54 evaluated twenty lactation models and concluded that the Wood's IG model and its derivative
55 [$y = n^b / \cosh(cn)$] gave equally good fits for cows in a sub-tropical environment. In comparison,
56 Val-Arreola *et al.* (2004) fitted five models to data from small scale and intensive systems in
57 Mexico and found that the mechanistic model presented by Dijkstra *et al.* (1997) was best.

58 The objectives of this study were to compare the accuracy of prediction of
59 some of the more commonly used lactation models for pasture-based Holstein-Friesian (HF)
60 cows in a temperate climate, and identify which models best represent the lactation profile of
61 pasture-based cows at either a herd or individual cow level.

62 63 MATERIALS AND METHODS

64 The data used in this study were collated from the Elliot Dairy Research and
65 Demonstration Station (ERDS; North-west Tasmania) and from the state-wide Tasher
66 databases comprising 428 dairy herds, henceforth referred to as DATA1 and DATA2
67 respectively. DATA1 consisted of 61,000 milk yield records from 1994-2005, while DATA2
68 comprised 65,000 milk test-day records from 2000-2005. Dairying in Tasmania is primarily
69 based on perennial ryegrass (*Lolium perenne* L.) and white clover (*Trifolium repens*) pastures,
70 with strategic use of grain during periods of pasture deficit. Calving season and breeding
71 programs were similar across data sets

72 Lactation records with obvious coding errors (e.g. calving date preceding birth
73 date) containing less than seven test day records for a particular lactation, and which were
74 <100d or >350d were excluded from the analyses, but not the entire records in the latter case.
75 No adjustment factors were used. The final data set consisted of 57,722 (1,987 lactations), and
76 55,555 (6,451 lactations) records for DATA1 and DATA2 respectively. Parities greater than
77 four were pooled. Lactation stage in weeks (WIM) was obtained by dividing the difference
78 between test date and calving date by seven. Summary statistics for parity and herd
79 characteristics are presented in Table 1.

80 The five empirical and two mechanistic functions used to evaluate average
81 daily milk (kg/d) were:

- | | | | | |
|----|----|------------------|--|---------------------------------|
| 82 | 1. | Incomplete Gamma | $Y(t) = a t^b e^{-ct}$ | (Wood, 1967) |
| 83 | 2. | Modified Gamma | $Y(t) = a t e^{-ct}$ | (Jenkins & Ferrel, 1984) |
| 84 | 3. | Mixed Log | $Y(t) = a + bt^{1/2} + c \log t$ | (Guo & Swalve, 1995) |
| 85 | 4. | Exponential | $Y(t) = a + b e^{-kt} + ct$ | (Wilmink, 1995) |
| 86 | 5. | Polynomial | $Y(t) = a + bt + ct^2 + d \log t + \varepsilon (\log t)^2$ | (Ali & Schaeffer, 1987) |
| 87 | 6. | Bicompartmental | $Y(t) = a e^{-bt} + d e^{-ct}$ | (Ferguson & Boston, 1993) |
| 88 | 7. | Dijkstra | $Y(t) = a \exp[b(1 - e^{-ct})/c - dt]$ | (Dijkstra <i>et al.</i> , 1997) |

89
90 where Y is milk yield (kg/d), at time t (weeks), and a, b, c, d and ε are parameters that define
91 the scale and shape of the curve.

92 93 Statistical analysis

94 Data were analysed using the Marquardt's iterative method of the non-linear
95 (NLIN) procedure of SAS (SAS 2005) on lactation stage (WIM) of herd and individual cow's
96 milk yields. Parameter estimates were compared only within models and across data sets as

97 each model gives unique estimates. Evaluation of the models' accuracy was based on its
 98 ability to converge, on RMS, the magnitude and distribution of residuals and the correlation
 99 between observed and predicted milk yield. The exponential model of Wilmink has a constant
 100 parameter (k) which was fixed (Wilmink 1987) in this study, at 0.46, this being the best fitting
 101 value for herd mean yield in a preliminary analysis of the data sets, during which the initial
 102 values of the NLIN procedures were also determined.
 103

104 **Table 1.** Summary statistics for daily milk yields (kg/d)

Data Set	Parity	Mean±SE	Min	Max	CV	Nobs
DATA1	1	15.0±0.04	0.60	41.44	29.44	13, 271
	2	17.3±0.05	1.20	42.72	30.51	10, 568
	3	19.4±0.06	0.90	48.01	29.90	8, 918
	4	20.3±0.07	3.50	49.68	30.05	7, 933
	>4	20.3±0.05	1.40	54.60	31.75	17, 045
DATA2	1	10.5±0.04	1.80	26.90	38.75	9, 463
	2	11.8±0.05	2.0	30.11	39.07	9, 574
	3	12.6±0.05	1.30	44.49	40.63	8, 864
	4	13.0±0.06	1.60	33.90	40.75	8, 656
	>4	13.1±0.04	2.00	40.81	39.09	19, 998

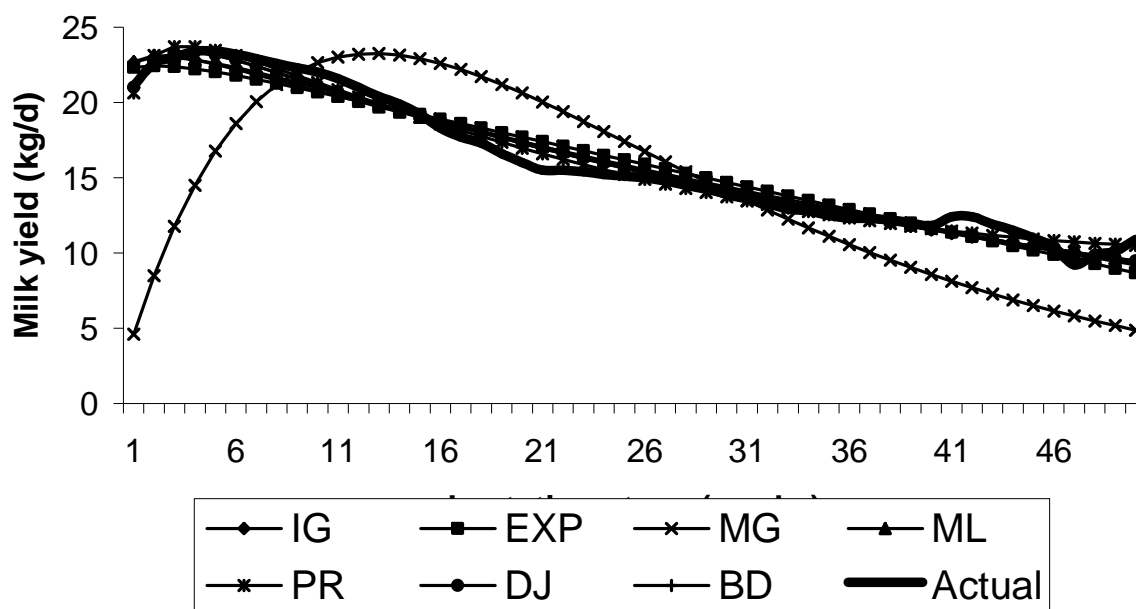
105 Cows with parity >4 were pooled

106 **RESULTS AND DISCUSSION**

107 **HERD:**

108 For DATA1, milk yield (kg) started at 21.1, peaked at 23.4, in week 4 and declined gradually
 109 before stopping at 10.9 for DATA1, compared to 12.0, 14.6 (week 4) and 10.4 (DATA2).
 110 Similar values have been reported for pasture-based dairy cows (Olori *et al.* 1999, Garcia &
 111 Holmes 2001). Parameter estimates in all the empirical models were significant and serially
 112 correlated. Estimates of parameter "a" were generally lower in DATA2 for all models being
 113 2.8 and 14.9 for MG and BC models respectively compared to 4.9 and 25.8 for the same
 114 models in DATA1. The rate of increase to peak (b) did not differ between the IG model
 115 across data sets but the values were higher in DATA1 for EXP, DJ, and BC models while it
 116 was higher in DATA2 for the PR model. Higher values of parameter "b" is indicative of
 117 increasing milk yield or increasing specific rate of cell proliferation associated with cow
 118 genetic merit or improved nutrition (Pollot 2000). The decay parameter "c" as estimated by
 119 DJ and BC models was similar but was always higher in DATA1 being 1.10 for both models

120 compared with about 0.74 (DATA2). The specific rate of cell death showed similar trends
 121 indicating a faster decline post peak yield for cows in DATA1.
 122



123 **Figure 1.** Herd actual and predicted milk yield profile (DATA1) of pasture-based Holstein-
 124 Friesian dairy cows fitted to different lactation functions. Lactation functions are: Incomplete
 125 Gamma (IG), Exponential (EXP), Mixed Log (ML), Modified Gamma (MG), Polynomial
 126 Regression (PR), Dijskra (DJ) and Bi-compartmental (BC).
 127
 128

129 Predicted initial, peak, mid and final milk yields for the different models with
 130 RMS are shown on Table 2. The three parameter models (EXP, IG and ML) over-predicted
 131 initial milk yield (kg) by between 0.7 and 1.6. The MG model generally under-predicted
 132 initial milk yield by 15 to 17kg. Bias in prediction of lactation around peak, mid and late
 133 lactation has been reported in studies by Cobby and Le Du, (1978) and Rowlands *et al.* (1982).
 134 The mechanistic and PR models gave the most accurate predictions of initial, peak, mid and
 135 final milk yields with very precise week at peak in both data sets. Final yield and week at
 136 peak were well predicted by all the models except MG which overestimated peak week by 9
 137 to 11 weeks. Pearson correlations of actual milk yield with predicted and residual values
 138 ranged between 0.78 to 0.99 and -0.13 to 0.22, respectively for DATA1, while the
 139 corresponding figures for DATA2 were 0.20 to -0.96 and 0.05 to 0.45, respectively. The best
 140 models for herd data evaluation were the PR and the mechanistic models, while Wood's IG
 141 was the best of the three parameter models. Our results are similar to findings reported by
 142 Olori *et al.* 1999, Tozer & Huffaker 1999 and Val-Arreolar *et al.* 2004 respectively but differs
 143 from the conclusions of Olori *et al.* 1999 with respect to Wilmink's EXP model.
 144

145 INDIVIDUAL COWS

146 All the models were fitted to a random selection of 100 cows from each data
 147 set using the NLIN approach as previously described. The goodness of fit was evaluated on
 148 iterative convergence and the proportion of individual cows well fitted as indicated by RMS
 149 value. The proportion of individual fits corresponding to four RMS classes; 0 to 0.9, 1.0 to 5.0,
 150 5.1 to 15 and >15, corresponding to a very good, good, fair and poor fit, were compared
 151 (Table 3). All the models except MG fitted 69% of individual cow's lactation as very good or
 152 good, although the mechanistic models did not converge in DATA2. The polynomial model

153 of Ali and Schaeffer still outperformed the rest in goodness of fit. The MG fitted 63% of
 154 individual lactation poorly.

155 All the models gave a uniform but larger variation in RMS for individual cows
 156 (compared to the Herd Data), especially where a large deviation in yield or missing data
 157 resulted in poor fits. Insufficient milk records in early lactation, the influence of
 158 environmental factors and variation between cows can account for poor model fits (Wood
 159 1969). Fluctuation in the milk yield profile of pasture-based cows can also arise from
 160 variation in pasture metabolisable energy content due to seasonal variation (Kolver & Muller
 161 1998).

163 **Table 2:** Herd actual and predicted initial, peak, mid-lactation and final milk yields (kg/d) of
 164 pasture-based HF cows. Residual mean square (RMS) was obtained by fitting average milk
 165 yield data to seven lactation functions.
 166

DATA SET	ACTUAL		PREDICTED						
			LACTATION MODELS						
	ITEM		EXP	IG	MG	ML	PR	BC	DJ
DATA1	Initial	21.1	22.3	22.7	4.59	22.7	20.6	21.0	21.0
	Peak	23.4	22.4	22.8	23.2	23.1	23.7	23.4	23.4
	Mid	15.0	19.9	15.5	17.1	15.6	15.0	15.4	15.4
	Final	10.9	10.9	9.34	10.9	10.9	10.9	10.9	10.9
	Peak week	4	2	4	13	2	4	4	4
	RMS		1.15	0.66	25.2	0.74	0.31	0.47	0.47
DATA2	Initial	12.0	12.7	13.2	2.3	12.8	12.1	12.0	12.0
	Peak	14.6	13.9	13.8	15.1	13.9	14.2	14.3	14.5
	Mid	12.4	12.0	12.0	13.7	11.9	11.8	11.9	11.9
	Final	10.5	9.4	9.38	6.3	9.4	9.8	9.5	9.5
	Peak week	4	5	5	17	5	5	4	4
	RMS		0.24	0.26	10.4	0.23	0.19	0.19	0.19

167 * Lactation functions are: Exponential (EXP), Incomplete Gamma (IG), Modified Gamma (MG), Mixed Log
 168 (ML), Polynomial Regression (PR), Dijkstra (DJ) and Bi-compartmental (BC)
 169

170 **Table 3.** Frequency distribution of lactation profile fits among different classes of residual
171 mean square (RMS), for selected individual pasture-based Holstein-Friesian cows.

DATA SET	MODEL	Class of Residual Mean Square (RMS) %					
		0 – 0.9	1.0 – 5	5.1 – 15	>15	NC	TOTAL
DATA1	EXP	0	70	23	7	-	100
	IG	0	71	23	6	-	100
	MG	0	7	30	63	-	100
	ML	0	70	24	6	-	100
	PR	0	80	14	6	-	100
	BC	0	41	11	7	41	100
	DJ	0	47	14	10	29	100
DATA2	EXP	0	24	56	20	--	100
	IG	0	23	56	21	-	100
	MG	0	8	30	62	-	100
	ML	0	21	58	21	-	100
	PR	1	18	54	27	-	100
	BC	0	0	0	0	100	100
	DJ	0	0	0	0	100	100

172
173 NC= No convergence, BC and DJ did not converge on DATA2
174

175 **CONCLUSION:**

176 Seven models have been evaluated for goodness of fit to pasture-based data. The best overall
177 model for fitting either herd or individual cow data was the PR model, but Wood's IG and
178 Guo and Swalves ML model were the best of the three parameter models. The mechanistic
179 models performed best in fitting herd production. The results underscore the need to use
180 appropriate functions for dairy evaluation and has implications for management in pasture-
181 based systems.

182
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