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2 Public engagement with direct-to-consumer genetic health tests in the US, UK, Japan
3 and Australia: Design, method and sample characteristics

4 Jan Charbonneau¹, Dianne Nicol¹, Don Chalmers¹, Kazuto Kato², Natsuko Yamamoto²,
5 Jarrod Walshe³, Christine Critchley^{1,3}

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8 ¹ Centre for Law & Genetics, University of Tasmania, Australia

9 ² Department of Biomedical Ethics and Public Policy, Graduate School of Medicine,
10 Osaka University, Japan

11 ³ Department of Statistics, Data Science and Epidemiology, Swinburne University of
12 Technology, Australia

13 Corresponding author: Jan Charbonneau; jan.charbonneau@utas.edu.au

14

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16

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29

Abstract

31

32 While direct to consumer health-related genetic testing (DTCGT) has potential to
33 provide accessible genetic information and empower individuals to make informed
34 healthcare decisions, it attracts concern associated with regulatory gaps, clinical utility
35 and potential for harm. Understanding public reactions to DTCGT is vital to facilitate
36 considered regulatory, health care and consumer protection strategies. Yet little is
37 known, particularly outside the dominant US market, about how the general public view
38 and might engage with health-related genetic testing outside traditional health care
39 systems. This paper addresses this knowledge gap with the first empirical study to
40 investigate general public views across four countries, at different stages of market
41 development. US (n = 1000), UK (n = 1014), Japanese (n = 1018) and Australian (n =
42 1000) respondents completed an online experimental survey assessing numerous
43 variables including comprehension, risk perceptions and potential psychological and
44 behavioural outcomes by type of test (disease pre-disposition and drug sensitivity),
45 severity, lifestyle factors and family history. Results showed low awareness and
46 intention to purchase across countries, highest in the US and lowest in Japan. Results
47 also showed clear preference for within-country purchases (less in Japan), while
48 purchasing via a doctor was far more important in Japan. Across countries, respondents
49 were more likely to act on test results where there was a higher genetic or lifestyle risk
50 of developing a disease. Statistical comparisons of demographic and health-related
51 variables across countries point to the need for further analyses designed to explain
52 cross-cultural, cross-health care system and developed versus developing market
53 differences.

54 Key Words: Direct to consumer genetic test, cross cultural research, public opinion

55 **Introduction**

56 From the outset, commercial DNA testing has been controversial, with the first entrants
57 criticized for ‘selling the imprimatur of science’, invoking ‘science’s power without
58 accepting its limits’ and failing to make clear ‘the limitations and potential dangers’ [1].
59 Since DTCGT company 23andMe invited Americans to its first online ‘spit party’ in
60 2007,[2] the controversy has intensified, with direct-to-consumer health-related genetic
61 testing (DTCGT) deemed ‘one of the most promising, yet controversial medical
62 advances of the modern era’[3] and viewed as a major aspect of the age of personalised
63 medicine[4]. Yet DTCGT has potential as ‘a powerful mechanism for providing
64 comprehensive genomic information to a large number of individuals’[3], capable of
65 fostering consumer empowerment [5] relative to healthcare and lifestyle decision-
66 making [6], without further diminishing limited public healthcare resources. Industry
67 advocates argue DTCGT enables ‘individuals to learn about the basics of genetics
68 through the lens of their own data’ with affordable and easily accessible test results
69 serving as a ‘foundation to preventive care’ [7].

70 Developments in DTCGT attracted early attention from academics and medical
71 researchers, [8,9,10] media [11] and those concerned with regulation [12,13,14]. While
72 recognising DTCGT’s potential to empower consumers, the majority emphasised
73 ethical, legal and social issues associated with obtaining genetic information outside
74 healthcare systems. Concern has been expressed about DTCGT tests, questioning
75 accuracy (analytic validity), link to increased disease risk (clinical validity) and whether
76 treatment options or lifestyle changes exist to mitigate or at least manage indicated risk
77 (clinical utility) [15,16].

78 One key issue with DTCGT has been, and continues to be, the potential for
79 consumer harm, especially if consumers use test results to make significant independent

80 treatment, prevention and lifestyle decisions [17]. Disease pre-disposition tests do not
81 generate definitive results but rather probabilities, creating the potential for ‘unjustified
82 anxiety’ from false positive test results and ‘false reassurance’ from false negative
83 results. Consumers self-interpret results, as advice from trained professionals is
84 generally not a standard component of the DTCGT offering [18]. Even if tests are
85 accurate, consumers generally do not have the required knowledge and skills to interpret
86 and appropriately action test results, and may turn to the healthcare system for
87 assistance [19], shifting the burden back onto public resources [20].

88 Understanding public reaction to DTCGT is vital to inform considered regulatory,
89 healthcare and consumer protection strategies. Research with the general public is still
90 in its infancy, consisting mainly of unintegrated descriptive studies examining wide-
91 ranging topics (e.g. awareness, attitudes, interest, intentions) across an assortment of
92 samples, primarily US (students, general public, early DTCGT customers). With rising
93 access to global markets and increased promotion, especially online, it is imperative to
94 understand developed, developing and potential markets to assess DTCGT’s potential
95 risks and benefits and whether these vary depending on regulatory regimes, healthcare
96 systems and cultural views. Importantly, there is no publicly available cross-
97 jurisdictional research comparing drivers and outcomes such as results comprehension,
98 psychological and behavioural reactions, likelihood of seeking professional healthcare
99 involvement, and willingness to allow company use of submitted DNA. There is also
100 no cross-jurisdictional research directly comparing purchase and purchase intention
101 within or outside one’s country of residence.

102 This study is the first to directly compare public engagement with DTCGT across
103 the more developed market in the US, where the majority of DTCGT companies are
104 located (n = 1000), with two relatively newer markets in Australia (n = 1000) and the

105 United Kingdom (n = 1014) and the emerging Japanese market (n = 1018). The study
106 was designed to be cross-sectional, bringing together numerous, often interrelated
107 variables that may influence past and future DTCGT purchase. The core of the survey
108 was the experimental component designed to assess comprehension and psychological
109 and behavioural reactions to hypothetical DTCGT reports that varied according to the
110 type of test (type 2 diabetes, colorectal cancer, drug sensitivity), severity of risk,
111 lifestyle/family history information and validity of genetic results. The survey also
112 included a range of demographic and health-related variables and predictive factors,
113 such as DTCGT familiarity, genetic determinism beliefs, trust in health information
114 sources, privacy concerns, numeric ability and existing health-related behaviour.

115 The study thereby facilitated advanced analyses and nuanced insights relative to
116 measures needed to ensure regulatory and healthcare responses to DTCGT appropriately
117 reflect public concerns and values. Given the study's breadth and complexity, this
118 paper's purpose is to present its design and measures together with the sample
119 characteristics from each countries. We also provide cross country results associated
120 with awareness of DTCGT, willingness to purchase, and whether decisions would be
121 made based on receiving different results that varied across type of disease and severity.
122 We expected that US respondents would be more aware and would demonstrate higher
123 willingness to purchase a test, given the dominance of the US market. However other
124 differences were exploratory given the limited research in Australia, the UK and
125 especially Japan, where there is currently none. Further work is being undertaken to
126 analyse more fully the reasons for country differences to inform effective consumer
127 protection and community engagement.

128 **Materials and Methods**

129 The research design was driven by four main questions: 1) Is there potential for
130 consumer harm, in particular psychological, resulting from engagement with DTCGT?
131 2) Do DTCGT results motivate behavioural change? 3) What determines familiarity
132 with and intention to purchase DTCGT? and 4) Do responses vary by country, type of
133 test, and respondent? An online survey of US, Australian, Japanese and UK respondents
134 was designed to assess the study's aims. Respondents were sourced by Qualtrics and
135 administered in Australia and the US in March 2015, the UK in September 2015 and
136 Japan in December 2015. Qualtrics provided stringent quality control features such as
137 the ability to screen for dishonest, inaccurate and speedy respondents, use of
138 sophisticated digital fingerprinting to avoid duplication, and compliance with ISO
139 standard and industry standard data protection and security procedures. Quotas ensured
140 country samples were roughly gender and age representative of target populations: 51%
141 female, 49% male; with 48% younger (18 - 24 + 25 - 44 years) and 52% older (45 - 64
142 and 65+ years).

143 The experimental component was designed to assess comprehension and potential
144 for psychological detriment and behavioural change, focusing specifically on disease
145 pre-disposition and drug sensitivity (pharmacogenomics). Each respondent was
146 presented with three scenarios involving DTCGT results for named individuals relating
147 to type 2 diabetes, colorectal cancer and sensitivity to a genetic blood-thinning drug.
148 Respondents were presented population average risk and randomised personal risk for
149 the two diseases and metabolisation rate for the drug. Respondents first were asked
150 questions designed to assess understanding, general disease perceptions and risk
151 interpretation for the diseases and understanding for the drug. Respondents were then
152 asked to assume they received comparable results to the named individuals and to

153 answer questions designed to measure potential psychological consequences and
154 behavioural intentions in response to results. (see Figure 1 for conceptual representation
155 of survey design).

156 All respondents answered questions before and after the experimental component
157 designed to assess: DTCGT familiarity; purchase and purchase intention; confidence in
158 DTCGT offering; willingness to participate in company research; trust in health
159 information sources; health fatalism; beliefs in genetic determinism; health-related
160 behaviours; and personal health (survey available via authors). All respondents
161 answered the same questions except for those requiring country-specific adaptation (e.g.
162 education, income). The survey used for Japan was available in English and Japanese
163 versions, with translation conducted by professional translators, requiring several
164 iterations to match meaning and context before final approval. The study obtained
165 Ethics approval from the University of Tasmania and Osaka University.

166 Figure 1 Here

167 **Experimental design**

168 The three scenarios reflect the types of reports currently delivered by DTCGT services.
169 Respondents were allocated gender-specific versions, with male and female names
170 common in each country used. For the two diseases, respondents were randomly
171 assigned low, high or higher risk for their named individual representing the population
172 average risk -20%, +20% and +100% (based on population risk used by US DTCGT
173 company 23andMe). Scenarios also included known causal factors - lifestyle for
174 diabetes and family history for colorectal cancer. Respondents were randomly allocated
175 into scenarios where named individuals either had or did not have causal factors or into
176 controls with no additional information (9 different treatments per disease – see Table
177 1).

178 Table 1 here

179 For drug sensitivity, respondents were randomly assigned slow or fast
180 metabolism rate for named individuals. Scenarios also included information about
181 whether tests were based on small or large numbers of scientific studies (preliminary vs.
182 established research as used by 23andMe) and whether studies suggested no negative
183 effects from either increasing or decreasing dosage. Respondents were randomly
184 assigned research and negative effect information or received only metabolism rate,
185 (10 different treatments; See Table 1). Respondents received one treatment each for
186 diabetes, cancer and drug sensitivity, in that order. Quotas for randomisation into each
187 of the total 28 treatments generated near equal numbers. (n per treatment: 108 – 116 per
188 country).

189 For each of the three scenarios, respondents were first asked to rate their
190 understanding of results presented. For the two diseases, respondents were asked
191 whether named individuals could prevent the disease, and then to interpret their
192 randomised DTCGT results based on the named individual's likelihood of developing
193 the disease (termed perceived severity) compared to the population average (termed
194 actual severity). Respondents were then asked to assume they received the same results
195 as named individuals and to assess their personal perceived risk of developing the
196 disease; potential psychological distress (ten randomly presented affect states adapted
197 from the Positive and Negative Affect Scale) [21]; and a range of randomly presented
198 behavioural intentions including lifestyle changes (e.g. diet); sharing (e.g. family or
199 online), engagement with healthcare professionals (e.g. doctors for interpretation);
200 information-seeking (e.g. online) or intention to make no decisions.

201 For drug sensitivity, respondents were also asked to assume they received the same
202 results as named individuals and whether they would make decisions based on results.

203 They then assessed potential psychological distress (same affect states as above); and
204 indicated whether they would alter their medication regime independently or only after
205 expert advice.

206 **Introductory and post experimental responses**

207 For quota purposes, respondents were asked at the outset to indicate gender, age and
208 state of residence (based on country of residence). They were presented with a brief
209 description of DTCG to ensure sufficient knowledge to complete the survey. Questions
210 were then asked about pre-survey DTCGT familiarity and intention to purchase tests
211 from either onshore or offshore companies. After the experimental component, all
212 respondents assessed their confidence relative to DTCGT (test accuracy, completeness
213 of information; personal ability to interpret results and sharing only with permission)
214 and willingness for their data to be used in company research (freely shared with
215 university researchers; used in company's own research, or sold for profit). Respondents
216 were asked whether they had purchased tests for either themselves or others and
217 likelihood of purchase if DNA was provided to companies but results returned via
218 doctors.

219 A suite of eighteen questions was asked relating to health consciousness (health
220 concerns are integrated into daily activities) [22]; health fatalism beliefs (lack of
221 personal control over health and illness) [23]; genetic determinism (belief genetics
222 causes illness); and the influence of lifestyle and family history on diabetes and
223 colorectal cancer development. Six questions were asked to assess recent health-seeking
224 and sharing behaviours (e.g. online self-diagnosis and sharing in online communities)
225 and five questions concerning trust in health information sources (e.g. family and
226 doctors). Two questions tested health numeracy, one testing risk interpretation and one
227 dosage determination.

228 **Health and demographic background characteristics**

229 Self-reported health was measured with five questions designed to assess overall health,
230 diet, exercise, family history of the two diseases and whether respondents took
231 prescription medication. The survey ended with basic demographic questions: marital
232 status; education; ethnicity; work status; household income; and number of children
233 under 18 in household and its total size.

234 **Participants**

235 Descriptive statistics of all demographic variables across country are shown in Tables 1
236 and 2. The mean age was similar across countries due to quotas but there were wide
237 levels of variation (i.e., SD's were around 16 years – see Table 2). Overall the sample
238 was fairly representative in terms of age, though all countries were slightly under-
239 represented by older respondents, particularly Japan [24, 25]. The samples were also
240 representative of those with tertiary qualifications, with the proportion being slightly
241 higher in Japan. The Japanese sample was slightly overrepresented by those with a
242 tertiary education (i.e. 56.7% compared to 49.5% in the population) [26].

243 The sample was not representative of those in paid employment, according to
244 OECD employment rates for 2015 [27]. In 2015, the proportion of Australians between
245 15 and 65 years in paid employment was 73.6% (sample: 48.9%), US 70.6% (sample:
246 52.8%), UK 74% (sample: 54.3%) and Japan 76.7% (sample: 59.5%). Interestingly
247 household income was reasonably representative for the Japanese sample, but slightly
248 over represented by those on lower incomes in the US, UK and Australia. The median
249 household income category for Australians was \$50K – \$74K compared to median
250 population gross household income of \$84,032 in 2015 [28]; for the US \$50K - \$74K
251 compared to population median of \$57,230 [29]; the UK £23-34,999 compared to

252 population median of £25,700 [30]; and Japan ¥5,000,001 – ¥6,000,000 compared to
253 population median of ¥5,743,488 in 2015 [31].

254 Cross-country comparisons of demographic variables (and their intercorrelations)
255 were conducted to check the validity of the samples and to provide future insight into
256 possible reasons for why views of DTCGT might vary on a country-specific basis. The
257 results in Table S1 and Figure S1 (see Supplementary materials) reveal many
258 demographic differences across countries. Not surprisingly there were no age or gender
259 differences as quotas were imposed. Overall US and Japanese citizens appeared to be
260 the most distinct, while Australia and the UK were more similar. Compared to the
261 Western countries, the Japanese sample had higher education, less children (though
262 slightly higher household size) and were more likely to be in paid employment and in a
263 relationship. Japanese respondents were also less likely to use the Internet, report poorer
264 health and diet, exercise less and report a higher incidence of cancer within their
265 families. Interestingly however, they were less likely than all other countries to be on
266 prescription medication and to have a history of diabetes.

267 US respondents were similar to the other Western cultures in relation to their
268 demographic background, although they did have the highest number of children living
269 in their households. They were, however, distinctive from all other countries in terms of
270 their increased online activity and health status. While reporting the highest levels of
271 good health and the healthiest diet, they were more likely to be taking prescription
272 medication and to have a family history of both diabetes and cancer. The UK and
273 Australia appeared to be most similar, with relatively few significant differences
274 between them. However, Australians were slightly less likely to be in paid employment,
275 and reported lower online activity, slightly better health status, healthier diets, and
276 increased exercised than UK respondents. US respondents were also significantly

277 (p<.001) more likely to have already purchased a DTCGT either for themselves or
278 someone else (21.3%) than all other countries who were similar (Australia: 9.5%; UK:
279 9.3%; Japan: 8.3%).

280 Tables 1 and 2 Here

281 **Results**

282 Figure 2 shows that all countries displayed low familiarity with DTCGTs. Apart from
283 the US, the UK, Australia and particularly Japanese respondents' average familiarity
284 score was below 2. The US average was above 2 indicating a slight familiarity on
285 average. Using SPSS V25, a one-way ANOVA with familiarity as the dependent
286 variable and country as the independent variable revealed significant variation in levels
287 of familiarity across country (at p<.001). Post hoc comparisons revealed that all
288 countries' mean familiarity levels differed significantly from each other (at p<.001). US
289 respondents showing the highest level of familiarity, followed by UK, Australian and
290 then Japanese respondents (see Figure 2).

291 Figure 2 here

292 As the means for Country TOTAL in Figure 2 show, overall, respondents from all
293 four countries demonstrated a low intention to purchase a DTCGT. A four (country) by
294 three (source of test: inside country, outside country, via doctor) mixed design ANOVA
295 was conducted to explore the differences across country and source in intention to
296 purchase. The results revealed that the main effect of country was significant (at
297 p<.001). Thus averaged over all three sources, intention was highest for US, followed
298 by Australian, UK and Japanese respondents (displayed in Figure 2 as Country
299 TOTAL). All post hoc comparisons were significant, suggesting that overall intention
300 was significantly different across all countries. While Australia was found to have a

301 significantly higher overall intention score to the UK, this difference was weaker than
302 all other comparisons ($p = .035$) which were significant at $p < .001$.

303 Comparisons of intention across the three sources of DTCGT were also significant.
304 Averaged across country (see Source TOTAL in Figure 2), overall intention to purchase
305 a DTCGT was highest when the purchased test is returned to a doctor, followed by
306 purchasing from a company inside one's own country, which was significantly higher
307 than intention to purchase outside one's country of residence (all comparisons were
308 significant at $p < .001$). The interaction was also significant, suggesting that the
309 difference across the three sources varied across the four countries. To explore the
310 nature of the interaction, discrete ANOVA's were computed to investigate differences
311 across sources within each country separately. The results suggested that the increased
312 tendency to purchase when the results are returned to a doctor compared to a company
313 (inside one's country) was exacerbated for Japan. For the US, UK and particularly
314 Australia, the difference in intention was greater between inside and outside of their
315 countries. Thus the results suggest that the western countries and especially Australia,
316 are more concerned than the Japanese about tests originating from overseas companies
317 (see Figure S2 in Supplementary materials for more detail).

318 **Decisions**

319 To explore whether respondents would act on the results presented to them we
320 compared the likelihood that no decisions would be made on the test results across
321 countries and scenarios (i.e., If you took a direct-to-consumer genetic test for Type 2
322 diabetes/colorectal cancer and your test results were the same as Jennifer's, how likely
323 is it that you would not make any decisions based on the test results). The diabetes
324 scenario results of a 4 (Country) by 3 (Risk: Low, High, Higher) by 3 (Causal factors:
325 Healthy diet, Unhealthy diet, Control) ANOVA revealed significant (all at $p < .001$) main

326 effects for country, risk and causal factors, but no significant interactions. Only
327 significant main effects (all at $p < .001$) were also found for the colorectal cancer
328 scenarios via a 4 (Country) x 3 (Risk: Low, High, Higher) by 3 (Causal factors: Family
329 history, No family history, Control) ANOVA.

330 Post hoc comparisons for risk revealed all were significant (at $p < .001$) for the
331 diabetes and colorectal cancer scenarios. Respondents were significantly more likely to
332 report that they would make no decisions when the risk was low (Diabetes: $M = 2.99$,
333 $SE = .03$; Cancer: $M = 3.18$; $SE = .03$), than when the risk was high (Diabetes: $M = 2.84$,
334 $SE = .03$; Cancer: $M = 2.99$, $SE = .03$) and higher (Diabetes: $M = 2.63$, $SE = .03$;
335 Cancer: $M = 2.79$, $SE = .03$). Comparisons across causal factors for diabetes found that
336 no decisions were most likely to occur in response to a healthy lifestyle ($M = 2.92$, $SE =$
337 $.03$) or when no information was provided ($M = 2.84$, $SE = .03$) compared to an
338 unhealthy lifestyle ($M = 2.70$, $SE = .03$). A similar pattern was found for the cancer
339 scenario where no decisions were more likely in response to no family history ($M = 3.03$,
340 $SE = .03$) or no information ($M = 3.04$, $SE = .03$) compared to when the target person
341 was described as having a family history of cancer ($M = 2.89$, $SE = .03$). Post hoc
342 comparisons across countries for both scenarios revealed the only significant difference
343 was between US and Australian respondents. As shown in Figure 3 US respondents
344 were more likely to make no decisions based on either the diabetes or cancer scenarios
345 than Australians.

346 Figure 3 here

347 For the drug sensitivity scenario, two separate ANOVA's were computed as the
348 control groups for validity and dose information were not independent (see Table 1).
349 The first consisted of a 4 (country) x 2 (Report results: Slow, Fast metaboliser) x 3
350 (Validity: Preliminary, Established, Control) design, and the second a 4 (country) x 2

351 (Report results) x 3 (Dose: Increase, Decrease, Control). Apart from a significant (at
352 $p < .001$) main effect for country, the first ANOVA yielded no significant effects. The
353 second however revealed significant main effects for country ($p < .001$) and dose
354 ($p = .009$), as well as a significant country x dose interaction, $F(6, 4008) = 2.38$, $p = .028$,
355 $\eta^2 = .004$). Post hoc comparisons for country revealed that US respondents were more
356 likely to make no decisions in response to the drug sensitivity scenario compared to all
357 other countries (all at $p < .001$) whom were statistically similar (see Figure 3).

358 To explore the significant interaction, separate ANOVA's for each country were
359 computed across dose information. Significant differences were found only for US
360 respondents, where no decisions were more likely (at $p < .001$) when the evidence given
361 for reduced negative effects of changing medication was associated with increasing the
362 dose compared to decreasing it and providing no evidence (i.e., control condition) (See
363 Figure 4).

364 Figure 4 here

365 Discussion

366 Regulating in areas of emerging or rapidly developing technologies, with evolving
367 industry structures, presents particular challenges [4, 37]. As a general principle,
368 regulation should be ethically and legitimately appropriate, reflect consensus opinion
369 accommodating differing belief systems to ensure regulatee acceptance, while being
370 responsive to technological developments (future-proofed) [38]. A recurring theme in
371 the DTCGT literature is that ethical, legal and social issues involved are sufficiently
372 serious to require regulation [33,34,35,36]. As the majority of activity and development
373 in the DTCGT sector is centred in the US but available online, resulting jurisdictional
374 challenges require consideration at an international level [39]. An understanding of
375 public opinion across different countries on the range of issues is crucial to inform

376 development of appropriate national and international regulatory frameworks. This
377 project provides a foundation for future comparative analyses associated with DTCGT
378 in newer markets, with different cultural perspectives and healthcare systems to those in
379 the more established US market.

380 As expected, our findings suggest that awareness of DTCGT and intention to
381 purchase a test were substantially higher in the established US market than the newer
382 markets of the UK, Australia and especially Japan. Suggesting that within jurisdiction
383 regulatory approaches are a priority, respondents from all countries (including the US)
384 reported being more likely to purchase a test from a company within their own country
385 and even more likely if it was purchased via a doctor. However our results also suggest
386 that intention to purchase a test from an international company is likely to grow with
387 increasing awareness, thereby requiring harmonisation at the international level. This is
388 particularly the case in countries like Japan where awareness was very low and the
389 effect of a company's location on respondents' intention was less pronounced.

390 Moreover, preliminary results from the experimental component of our study suggest
391 strongly that respondents are more likely to make decisions to act on test results (rather
392 than doing nothing) if genetic results or lifestyle factors communicate higher predictive
393 risk for developing a disease.

394 The logical next step in this study is to determine the potential for harm or benefit,
395 by establishing the nature of, and the reasons behind, decisions taken in response to
396 different scenarios in different countries. The reasons for the differences found in this
397 research need to be examined, especially the intriguing result that US respondents were
398 less likely to make active decisions based on test results than Australians, especially if
399 they received further information relating to the validity of a test. Analysis of the
400 demographic variables across the four countries reveals significant potential to generate

401 valuable future insights into the reasons underlying potential reactions as well as cross-
402 cultural differences. Indicating potential for generalisability, the samples are relatively
403 representative of the US, UK, Australian and Japanese populations, and sufficiently
404 heterogenous to allow within-country comparisons. All were representative in relation
405 to gender and education, and reasonably representative in terms of age, with the
406 exception of Japan, which was slightly over represented by younger respondents.

407 Providing confidence in the generalisability of the samples, many of the identified
408 demographic differences reflected actual differences in the populations. For example,
409 the higher rates of respondents with university/college educations and those in
410 employment amongst the Japanese population compared to the three other countries was
411 reflected in the sample differences [26, 27]. The higher incidence of type 2 diabetes in
412 the US (10.8%) compared to the other three countries (Australia: 5.2%, UK: 4.3%,
413 Japan: 5.7%) [40], higher rates of colorectal cancer in Japan and the US compared to
414 Australia and the UK [41], and higher use of prescription medication amongst US
415 respondents [42] were also reflected in the pattern of results.

416 The four sample jurisdictions were, however, over-represented by those not in paid
417 employment and, with the exception of the Japanese sample, those with lower
418 household incomes. This may reflect the nature of the online panel respondents, who are
419 active Internet users [43] and may be more motivated by small incentives (e.g. reward
420 points). However, this study required Internet-literate respondents, confirmed by
421 respondents' relatively high Internet usage (especially US). While these factors may
422 present limitations, more recent research suggests demographic differences between
423 online panel respondents and those recruited by other methods (e.g. telephone) may be
424 diminishing with increased household Internet penetration [44], and companies
425 (including Qualtrics) partnering with other panel providers to allow access to larger,

426 more diverse or targeted populations. Sample diversity and representativeness in
427 relation to key variables such as gender, age and education in this study are indicative of
428 improvements in online recruitment practices.

429 There is now an emerging body of empirical studies of consumer interactions with
430 DTCGT [45,46,47,48], yet few commentators have made recommendations on
431 regulatory or oversight requirements, particularly in relation to cross-jurisdictional
432 challenges [49,50]. The results of this four-country cross-jurisdictional DTCGT study
433 provide a basis to inform substantive recommendations in relation to ethical, legal and
434 social issues, at least in the four countries studied. Finally, this study can provide an
435 important opportunity and international template to further investigate DTCGT
436 engagement with respondents in other jurisdictions with differing demographic profiles,
437 legal and healthcare systems, regulatory regimes, and cultural traditions.

438 Supplementary information is available at European Journal of Human Genetics'
439 website

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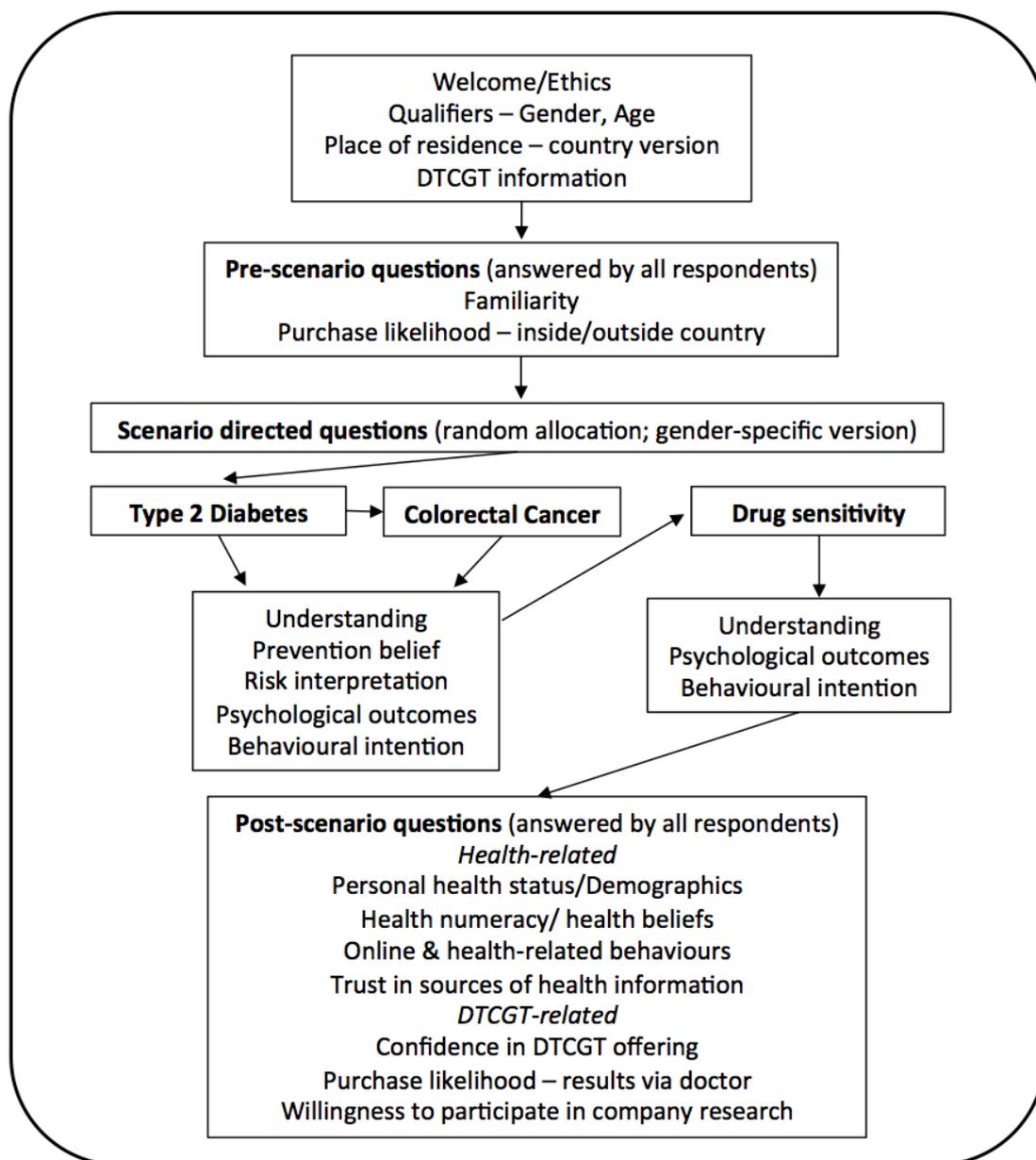


Table 1. *Description of Report Design*

Diabetes (average risk reported as 20.7% for all)										
Risk	Low risk (16.6%)			High risk (24.8%)			Higher risk (41.4%)			
Causal factors	Healthy lifestyle	Unhealthy lifestyle	Control	Healthy lifestyle	Unhealthy lifestyle	Control	Healthy lifestyle	Unhealthy lifestyle	Control	
Report No.	1	2	3	4	5	6	7	8	9	
Colorectal cancer (average risk reported as 4.0% for all)										
Risk	Low risk (3.2%)			High risk (4.8%)			Higher risk (8%)			
Causal factors	Family history	No family history	Control	Family history	No family history	Control	Family history	No family history	Control	
Report No.	10	11	12	13	14	15	16	17	18	
Drug sensitivity (metabolic rate compared to the average person)										
Report results	Slow metaboliser					Faster metaboliser				
Validity	Preliminary research (Small no. of studies)		Established research (Large no. of studies)		Control	Preliminary research (Small no. of studies)		Established research (Large no. of studies)		Control
Dose information	Increase pills	Decrease pills	Increase pills	Decrease pills		Increase pills	Decrease pills	Increase pills	Decrease pills	
Report No.	19	20	21	22	23	24	25	26	27	28

Note. All control conditions were those where causal information was omitted for the two disease reports, and where research background and effect of dose information were omitted for the Drug sensitivity reports.

Table 2. Descriptive Statistics for all categorical demographic variables across country.

		Total		Australia		US		UK		Japan	
		n	%	n	%	n	%	n	%	n	%
Gender	Male	1973	48.9	490	49.0	490	49.0	495	48.8	498	48.9
	Female	2059	51.1	510	51.0	510	51.0	519	51.2	520	51.1
Education	Not university educated	2164	53.7	561	56.1	574	57.4	588	58.0	441	43.3
	University educated	1868	46.3	439	43.9	426	42.6	426	42.0	577	56.7
Employment status	Paid employment	2174	53.9	489	48.9	528	52.8	551	54.3	606	59.5
	Not in paid employment	1615	40.1	435	43.5	429	42.9	408	40.2	343	33.7
	Student	243	6.0	76	7.6	43	4.3	55	5.4	69	6.8
Marital status	Not partnered	1760	43.7	407	40.7	420	42.0	425	41.9	508	49.9
	Partnered	2272	56.3	593	59.3	580	58.0	589	58.1	510	50.1
Ethnicity ^a	Majority	2843	82.5	567	69.1	648	74.1	855	92.2	773	93.8
	Minority	157	4.6	3	0.40	140	16.0	14	1.5	0	0.0
	Outside country	446	12.9	251	30.6	86	9.8	58	6.3	51	6.2
Diabetes history	Yes	1106	27.7	260	26.3	384	38.6	256	25.5	206	20.3
	No	2554	63.9	606	61.4	524	52.7	673	67.0	751	74.1
	Unsure	339	8.5	121	12.3	86	8.7	76	7.6	56	5.5
Cancer family history	Yes	355	8.9	72	7.30	121	12.2	56	5.6	106	10.5
	No	3148	78.8	730	74.1	744	74.8	827	82.2	847	83.9
	Unsure	493	12.3	183	18.6	130	13.1	123	12.2	57	5.6
Prescription medication	No	2124	53.6	496	50.7	430	43.9	504	50.5	694	69.1
	Yes	1836	46.4	483	49.3	549	56.1	494	49.5	310	30.9

Note. ^aMajority was defined as those who identified as Australian, American, English/British/Welsh/Scottish or Japanese. For Australia, US and UK respondents this also included those indicating “white” or “Caucasian” and “Asian” for Japanese respondents. Minority was defined as black, African American, Latino, and indigenous, while the category “Outside country” were those who identified with a culture outside their country of residence (e.g., German, Middle Eastern, Chinese).

Table 3. *Descriptive statistics for all continuous demographic variables across country.*

	TOTAL Sample		Australia		US		UK		Japan	
	M	SD	M	SD	M	SD	M	SD	M	SD
Age	46.51	16.56	47.20	17.11	46.84	17.02	46.18	16.43	45.83	15.65
Household Income ^a	2.54	1.03	2.66	0.97	2.51	1.01	2.50	1.04	2.48	1.10
Household size	2.69	1.35	2.68	1.38	2.69	1.43	2.61	1.31	2.78	1.28
No. Children	1.52	0.94	1.54	0.99	1.69	1.10	1.51	0.90	1.33	0.71
Health status	3.20	0.94	3.23	0.93	3.48	0.98	3.13	0.93	2.94	0.82
Healthy diet	3.29	0.93	3.37	0.92	3.27	1.00	3.28	0.88	3.22	0.93
Exercise	2.82	2.30	3.27	2.23	3.11	2.29	2.98	2.21	1.95	2.25
Online activity	1.92	0.65	1.92	0.62	2.07	0.66	1.99	0.63	1.70	0.63

Note. M = Mean, SD = Standard deviation. ^aHousehold income for each country was initially 8 categories for all except Japan which was 9. To standardise the currencies 4 income categories were created where 2 SD's below the country's mean was defined as low, 1 SD below the mean was Low-medium, 1 SD above and including the mean was Medium to high and 2 SD's above the mean as High. Ranges for the other variables were: Age = 18 – 91 years, Household size = 1 – 12, Number of children = 1 – 11 (There were no respondents without children living in the household), Health status = 1 (poor) – 5 (very good), Diet = 1(very unhealthy) – 5 (very healthy), Exercise = 0 – 7 days per week, Online searching = 1 (never) – 3 (regularly). There were no missing values on all variables apart from household income (prefer not to answer option selected). Total n's were therefore 4032 while for income n = 3665.

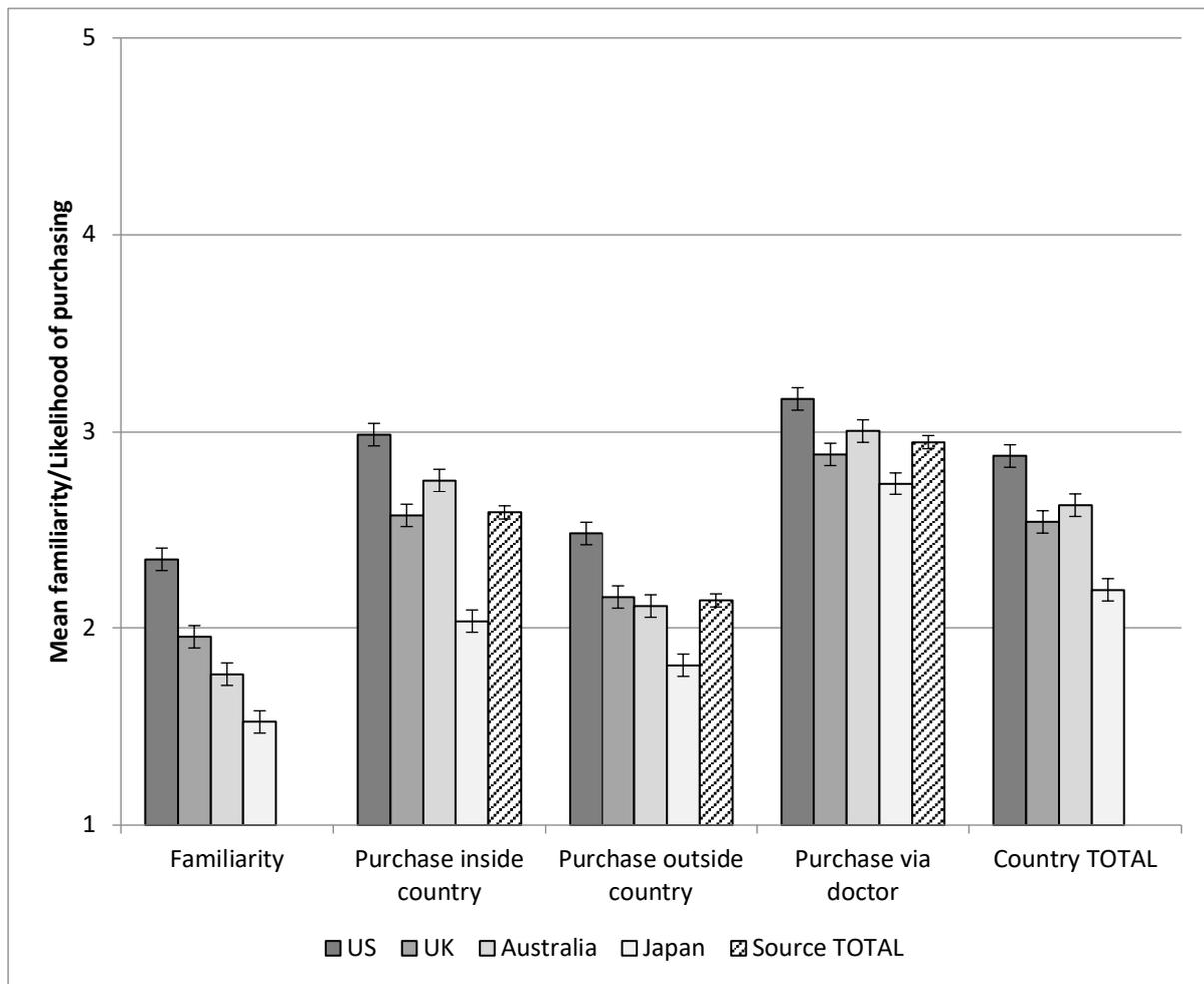


Figure 2. Mean level of familiarity and intention to purchase across country.

Note. Bars represent the 95% confidence intervals of the mean. For Familiarity (Prior to starting this survey, how familiar were you with direct-to-consumer genetic testing?), 1 = Not familiar, 2 = Slightly familiar, 3 = Somewhat familiar, 4 = Moderately familiar and 5 = Extremely familiar. For intention (“What would you say is the likelihood of you purchasing a direct-to-consumer genetic test from a company located INSIDE/OUTSIDE your country of residence?; How likely it would be that you would purchase a direct-to-consumer genetic test if you provided your DNA sample to the company but the company returned your test results to your doctor?) 1 = Extremely unlikely, 2 = Unlikely, 3 = Neutral, 4 = Likely and 5 = Extremely likely. Source TOTAL is the mean intention for each source averaged across country. Country TOTAL is the mean intention for each country averaged across source.

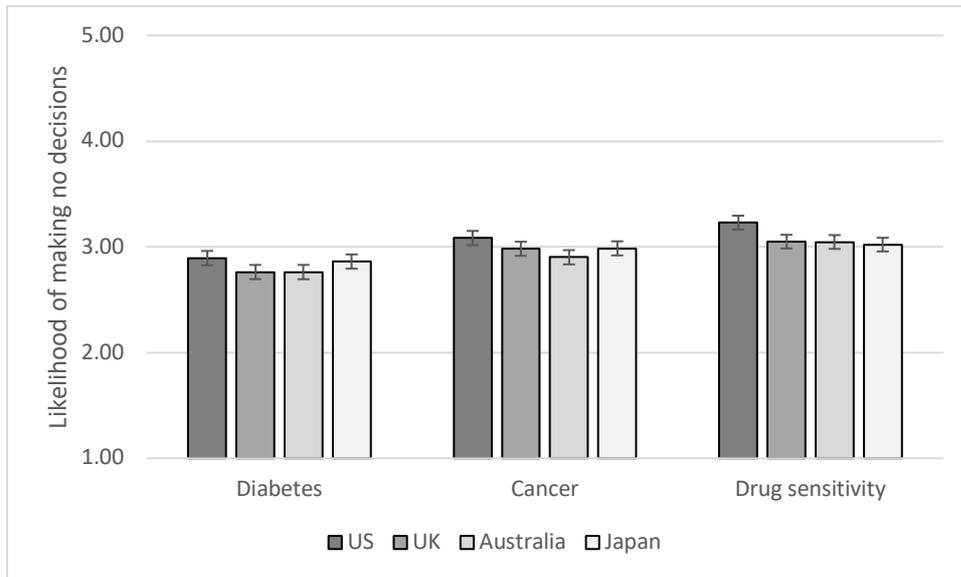


Figure 3. Mean decision score across country.

Note. High scores = Higher likelihood of making no decisions in response the scenario. Bars represent the 95% confidence intervals of the mean.

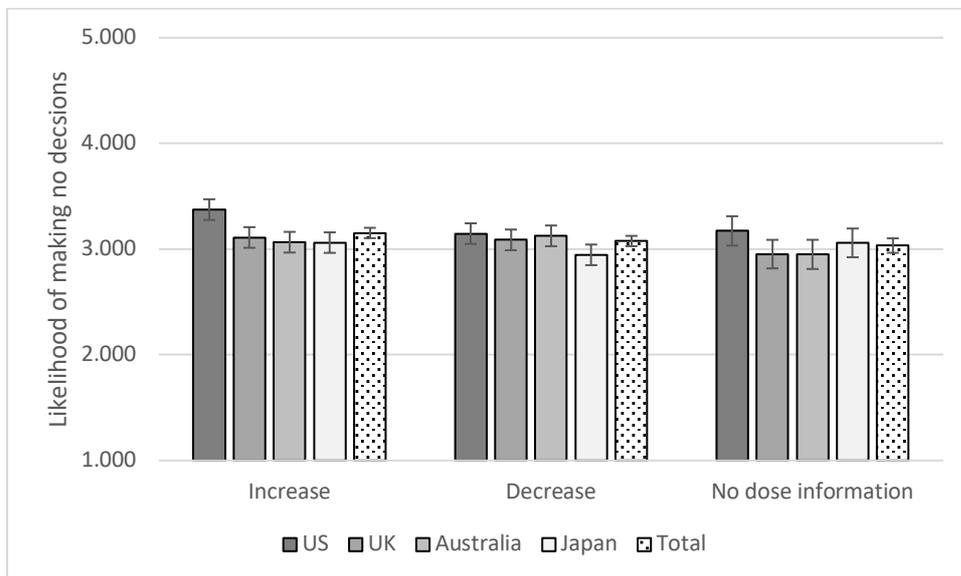


Figure 4. Mean decision score across country and dose information.

Note. High scores = Higher likelihood of making no decisions in response the scenario. Bars represent the 95% confidence intervals of the mean.

